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ABSTRACT

This bibliography of literature on appropriate technology is divided into three parts. Part 1 is an alphabetical listing of entries by title. Entries with numbers at the beginning of the title are listed first. Each entry includes author, publication year, publisher, source, total pages, institution, and other information. Part 2 is a rotated or "key word in context" (KWIC) index. This is an alphabetical listing by major terms or key words found in titles. A list of words not indexed because they were too common or uninformative are provided at the beginning of this section. Part 3 is an index of the first authors listed in each citation of the bibliography. Materials published and/or entered into one of 11 databases from 1977 through May 1983 are included. A brief discussion of the methodology used to generate the bibliography is also provided. (JN)

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APPROPRIATE TECHNOLOGY
BIBLIOGRAPHY

by

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with

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October 1983

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Karl Kocher, of the Library's Systems and Automation Department, who in the final months of the project gave us much advice and information and gave generously of his skills and time. His assistance and knowledge were invaluable. Ultimately his help showed us how, in future work such as this, we can accomplish our goals on our own Library's computer. We anticipate that with his help we will be able to utilize the Library's computer for similar projects in the future, building on our experience.

Kevin Roddy, with whom we consulted concerning the uses of the UNIX computing systems and the several other available sorting and formatting programs. He often made himself available to us and his assistance was very important and useful.

Dr. Richard Donovan, who graciously allowed us to use his minicomputer to demonstrate and accomplish most of the downloading of bibliographic records.

We would like also to acknowledge our appreciation to the Library Administration for their support in at least two notable ways. One was, of course, the use of the Library's computer. The other was the Spring, 1983 UNIX orientation classes which were very helpful to us in accomplishing this project. Those programs, organized by **Kazuko Dailey** and **Katherine Mawdsley**, were well received by all the staff, but were of particular value to the Appropriate Technology Project.

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The use of appropriate technology to generate this bibliography.

This bibliography was initiated with the idea of using various appropriate technologies. The primary technology which is now available for compiling bibliographies is the computer. The uses to which we put the computer were:

1. Searching the several computerized databases where pertinent information was likely to reside.

2. Downloading that information onto our own minicomputer.

3. Processing and sorting the machine-readable data by various means.

4. Formatting and printing the final results.

These appropriate technologies and their applications to this project are what we will now explain.

The first point to consider is that the subject of our bibliography is not a well-defined area of study as viewed by the many databases which we searched. In most cases the databases assigned subject headings to the documents we retrieved but rarely was the phrase "appropriate technology" used. The phrase was used in titles and as an identifying phrase and it was used in abstracts. Because the phrase was not used as a subject index term references could only be located by slow and painstaking manual scanning of citations in printed indexes, or by computer. We used the computer. In using the computer to search on the term "appropriate technology" in titles, abstracts, etc., we failed to retrieve citations for works which were about appropriate technology but which did not use the phrase. We also retrieved citations which used the phrase but did not reflect the same subject matter we considered applicable to out interests. Thus we were able, by using the computer, to retrieve otherwise difficult-to-find items but with the caveats noted above.

"Downloading" is the word used to describe the process of transferring data from one computer to another computer electronically. The machine-readable data from one computer are recorded into another computer where they reside as machine-readable data. The second step of our project was to retrieve the data in such a way that further work could be done on the retrieved items; i.e., merge them and sort them and edit them. To accomplish this step we endeavored to "download" our search rather than retrieve the material as we usually do, i.e., in printed form. The program used to enable our minicomputer to download was the TSTE public domain software which runs under RT-11.

Machine-readable data may then be "word-processed" or otherwise manipulated by programmers and machines. Thus the rekeying of data is avoided with its accompanying problems of costs and errors. Downloading of data was the second use of current appropriate technology. (NOTE: The legality of downloading machine-readable data is a matter of concern. Most databases are copyrighted and the permission of the database producer should be obtained. We checked with system vendors (BRS and DIALOG) as well as individual databases producers to get their permission for this particular project.)

The third phase of our project was to merge the machine-readable results we obtained from our various searches and to order those results and then index them to make the information accessible. As this project comes to an end we look back at the work we did and see that two systems of basic formatting were learned and utilized.

One system was on our campus computers using the UNIX operating system and special bibliographic organizing programs developed by one of our faculty. The second system was our library's DEC LSI 11/23 minicomputer using the RT-11 operating system and the text-editor programs and various sorting programs. Either of the two systems could accomplish the tasks we attempted. The result was in fact a combination of work done on both systems. The primary task which needed to be performed in order for the programs to work was to get the various fields of each record to reside on just one line, albeit a long line in certain cases. A difference between the programs used on the campus computer and those used on our library's mini was that the campus computer programs could deal with missing fields whereas the text-editor macros with which we manipulated the records for global changes, etc. required all fields to be in expected places for the changes to be made accurately. Nevertheless, the experience was fascinating to say the least and it was in fact enormously educational and useful to find out about the campus and library computer capabilities.

We have also learned a few things to watch out for when downloading. Much time can be saved by choosing the vendor system which delivers the most suitable format and ordering. Fields should be tagged (.i.e. labelled.) We were forced to download from DIALOG in order to obtain CAB records but the additional effort needed to supply labels for every field was tedious. Savings can also be realized by downloading records which most closely resemble the finished product; i.e. if the bibliography does not require abstracts, downloading the records with abstracts is wasteful. Sorting the records, wherever the system permits, in advance of downloading also saves time later.

The processing was done as follows:

1. Reorder the fields within each record so that the titles came first.

2. Sort the records alphabetically by title.

3. Number each record.

4. Extract the title fields and put the titles through a Key Word In Context (KWIC) Indexing program.

5. Extract the author fields and sort alphabetically for an author index.

The final phase was to format and print the results. The formatting was simply to put the text through our mini's RUNOFF program which filled and justified lines. The printing was done on a fast dot-matrix printer with variable fonts and spacing. We learned during this process to imbed commands in the text so that changes in the fonts could occur automatically as desired.

As noted earlier the computer is THE appropriate technology for information processing. We have learned a lot about how we can do that processing on our library's computer as well as on the campus computers.

Key to Format and Abbreviations in the Bibliography

The entries of the following bibliography are arranged in alphabetical order by title. Entries with humbers at the beginning of the title are at the front of the list. Each entry has a number which links the KWIC Index and the Author Index to the bibliography.

The text of each entry is in all capital letters. Each entry has several lower case letters which are field-identifiers. These field identifiers are defined as follows:

a	author
aj	announcement
b	abstract
c	catalog number
ca	corporate author
ced	series information
co	collation
d	descriptors
e	concept code
g	geographic source
i	institution
l	language
n	accession number
no	numbers
nt	notes
p	publication year
pb	publisher
ro	research
s	source
tp	total pages
x	sponsoring agency
y	year of publication

Following the field identifier "n" for accession number, many of the records will have, in parentheses, an abbreviation which stands for the database from which the entry came. Those abbreviations are defined as follows:

CAB	Commonwealth Agricultural Bureaux
COMPENDEX	The Engineering Index
DOE	Department of Energy
ERIC	Educational Resources Information Center
EVIE	Enviroline
GPOM	U.S. Government Printing Office Monthly Catalog
INSPEC	Information Services in Physics, Electrotechnology, Computers and Control
MELVYL	U.C. Prototype Online Catalog
NTIS	National Technical Information Service
RLIN	Research Libraries Information Network
SOCIOLOGICAL ABS	Sociological Abstracts

In most cases, the numbers following the database abbreviations in the bibliography are useful numbers. They will enable you to go directly to the items listed in the respective computerized or printed forms and thereby find abstracts and other useful information.

The searches for this bibliography were completed in May, 1983. These searches were limited to material published and/or entered into the databases since 1977. Thus, coverage in this bibliography is from 1977 through early 1983.

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6

KWIC (Key word in context) INDEX

The following pages are a 'key word in context' (KWIC) index to the titles included in the preceeding bibliography. The words index are positioned just left of center and begin with dates indexed.

To locate the item referred to in the KWIC index in the preceeding bibliography note the number on the line which is in parenthesi The number in parenthesis refers to the number of the citation in the bibliography.

Several words were not indexed by the program because they were too common or uniformative. The list of those 'stop words' follows.

Stop-word list for KWIC Index.

A
AMERICA (where part of phrase LATIN AMERICA)
AN
ANALYSIS
AND
APPROPRIATE
APRIL
ASPECT
ASPECTS
AT
AUGUST
BY
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CONCLUSION
CONCLUSIONS
CONFERENCE
CONFERENCES
CONSIDERATION
CONSIDERATIONS
DECEMBER
DELHI (where part of phrase NEW DELHI)
DISCUSSION
FACTOR
FACTORS
FEBRUARY
FINAL
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FORUM
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INDUSTRIAL (where part of phrase APPROPRIATE INDUSTRIAL TECHNOLOGY)
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YU, Y. T. (YU YOU-TAI);(1377)
ZAKAS-L-P.(619)
ZAMYATINA-A-F. ISLAMUTDINOVA-F-V. PASHALI-V-F.(575)
ZARIC-Z-P.(715)
ZHUKOV-YU-N. OVCHINNIKOV-V-V. ROMANOV-YU-F.(958)
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